

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/686,927
		Filing Date	10/15/2003
		First Named Inventor	Mozer, Todd F.
		Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	016757-000314US

ENCLOSURES (Check all that apply)		
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Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Townsend and Townsend and Crew LLP Chad R. Walsh Reg. No. 43,235	
Signature		
Date	1/16/04	

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Edward Masinas		
Signature		Date	1-20-04

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On 1-20-04

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masinas  
Edward Masinas

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Todd F. Mozer et al.

Application No.: 10/686,927

Filed: October 15, 2003

For: METHOD OF PERFORMING  
SPEECH RECOGNITION ACROSS A  
NETWORK

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/051,838, filed 1/16/2002 (Attorney Docket No. 016757-000312US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chad R. Walsh  
Reg. No. 43,235

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60119574 v1



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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**Complete if Known**

Application Number	10/686,927
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Attorney Docket Number	016757-000314US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	3,742,143	06-1973	Awipi	
	AB	4,049,913	09-1977	Sakoe	
	AC	4,388,495	06-1983	Hitchcock	
	AD	4,882,685	11-1989	Van der Lely	
	AE	5,281,143	01-1994	Arad et al.	
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	AT	5,737,485	04-07-1997	Flanagan et al.	
	AU	5,749,066	05-05-1998	Nussbaum	
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	BC	6,205,556	03-2001	Watanabe et al.	

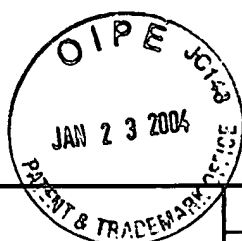
**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



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**INFORMATION DISCLOSURE  
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Sheet 4 of 5

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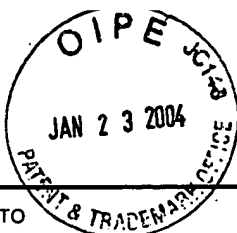
**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BF	BELL, H.A. and D. Hull, an Equipment Concept for Speech Recognition, Colloquium on "Some Aspects of Speech Recognition for Man-Machine Communications," London, UK, 23 Apr 1968, Institute of Elect. Engineers, 1968.	
	BG	BEZDEL, W. and R.W.A. Scarr, Approaches to Speech Recognition Equipment Based on Zero Crossings and Other Speech Features, Colloquium on "Some Aspects of Speech Recognition for Man-Machine Communications," London, UK, 23 Apr 1968, Institute of Elect. Engineers, 1968.	
	BH	BUNGE, E., Automatic Speaker Recognition By Computers, 1975 Carnahan Conference On Crime Countermeasures, ed. J.S. Jackson, pp 23-28, 1975.	
	BI	FAWE, A.L. Reconnaissance De Le Parole A Partir Des Passages Par Zero, Revue HF, vol. 10, pp 296-298, 1978. The Abstract is provided entitled "Word Recognition by Zero Crossings" (one page).	
	BJ	FRY, D.B., Prospects and Problems in Mechanical Speech Recognition, Colloquium on "Some Aspects of Speech Recognition for Man-Machine Communications," London, UK, 23 Apr 1968, Institute of Elect. Engineers, 1968.	
	BK	GLENN, J.W., and M.H. Hitchcock, With a Speech Pattern Classifier, Computer Listens to Its Master's Voice, Electronics, vol. 44, pp 84-89, 1971.	
	BL	LAVINGTON, S.H., Problems in Automatic Speech Recognition, Colloquium on "Some Aspects of Speech Recognition for Man-Machine Communications," London, UK, 23 Apr 1968, Institute of Elect. Engineers, 1968.	
	BM	LENAERTS, E. H., Talking to The Computer, New Scientist, vol. 44, 498-501, 1969.	
	BN	LUMMIS, R.C., Speaker Verification: A Step Toward, The 'Checkless' Society, Bell Laboratories Record, vol. 50, pp 254-259, 1972.	
	BO	MAKHOUL, J.I., Speaker-Machine Interaction In a Limited Speech Recognition System, Proceedings of the 78 <sup>th</sup> Meeting of the Acoustical Society of America, San Diego, CA, Acoustical Society of America, New York, 1969.	
	BP	NEIDERJOHN, R.J. and P.F. Castelaz, Zero-Crossing Analysis Methods for Speech Recognition, Proceedings of the 1978 Conference on Pattern Recognition and Image Processing, IEEE, pp 507-513, 1978.	
	BQ	NEWAL, A.F., Spectral Analysis Using a Filter Bank and PDP8 Computer, Colloquium on "Some Aspects of Speech Recognition for Man-Machine Communications," London, UK, 23 Apr 1968, Institute of Elect. Engineers, 1968.	
	BR	PAY, B.E., User Requirements of Speech Recognition Machines, Colloquium on "Some Aspects of Speech Recognition for Man-Machine Communications," London, UK, 23 Apr 1968, Institute of Elect. Engineers, 1968.	
	BS	PURTON, R.F., An Automatic Word Recognizer Based on Autocorrelation Analysis, Colloquium on "Some Aspects of Speech Recognition for Man-Machine Communications," London, UK, 23 Apr 1968, Institute of Elect. Engineers, 1968.	
	BT	RAIBINER, "Applications of Voice Processing to Telecommunications," <i>Proceedings of the IEEE</i> , Vol. 82, No. 2 (Feb. 1994), pp. 199-228.	

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SignatureDate  
Considered

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet	5	of	5	Attorney Docket Number	016757-000314US

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	BU	REDDY, D.R., Speech Input Terminals for Computers: Problems and Prospects, Proceedings of the 1970 IEEE International Computer Group Conference, IEEE, p. 282-289, 1970.	
	BV	ROCHESTER, L.R., J.N. Gowdy, and J.K. Bryan, Comparison of Speech Recognition Systems Based on FFTs and Zero Crossings, Proceedings of Southeastcon, IEEE, pp 178-181, 1979.	
	BW	SAMBUR, M.R., and L.R. Rabiner, A Speaker-Independent Digit-Recognition System, Bell System Technical Journal, vol. 54, pp 81-102, 1975.	
	BX	TURN, R.A. Hoffman, and T. Lippiatt, Military Applications of Speech Understanding Systems, Rand Corp., Santa Monica, CA 1974.	
	BY	YASAKI, E.K., Voice Recognition Comes of Age, Datamation, pp 65-68, 1976.	

Examiner Signature		Date Considered	
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